

## **Efficient Algorithms for Leader Election in Radio Networks**

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## **Radio network model**

- a network consists of *stations* (pocket devices)
- communication between stations via a shared radio channel
- common clock
- messages sent in time slots common to all stations

## Applications

- military ...
- rescue operations
- logistics
- new application areas ...

### Problems

- stations: *off* and *on*  
stations that are on = *alive stations*
- unknown number of alive stations
- often the stations are indistinguishable
- collisions in communication
  - at least two stations sending at the same time  $\Rightarrow$  scrambling
- collision indistinguishable from noise

## Complexity measures

**time** - the total number of time slots used,

**energy** -

- a station that listens or sends in a time slot is *active*, otherwise *inactive*
- being active causes the main usage of energy
- energy cost of a station = the number of time slots in which it is active
- energy cost of an execution = the maximal energy cost over all stations

## Leader Election Problem - randomized setting

- given an unknown set of active stations, the stations are indistinguishable
- the number of active stations is
  - known
  - known up to a constant factor
  - unknown
- after electing a leader exactly one station should be in the state *leader*, the rest should be in the state *non-leader*

## Leader Election Problem - deterministic setting

- the same as randomized - but the stations have unique IDs
- often: the IDs are in the range  $1..n$ , but not all such IDs are used
- complexity measured in  $n$  or the number of active stations

## Ethernet solution

repeat until success:

1. each station with probability  $\frac{1}{n}$  sends a message and listens
2. if no collision, then the station that has sent is the leader

Properties:

- probability of electing a leader in one trial  $\approx \frac{1}{e}$
- within  $O(\log n)$  trials a leader should be elected whp
- energy cost  $O(\log n)$ , equal to time



## Tree algorithm

(Nakano, Olariu)

- deterministic, each station has an ID in the range  $1..n$
- the number of alive stations unknown
- energy cost is  $\log(n)$ , time complexity is  $n$

## Tree algorithm

- put  $n$  ID's (stations) in the leaves of a full binary tree
- elect a leader in each subtree:
  - for a subtree  $S$  with left subtree  $L$  and right subtree  $R$ 
    1. the leader of  $L$  (if exists) sends a message and considers itself as the leader of  $S$
    2. the leader of  $R$  listens, if no message received then it considers itself as the leader of  $S$

## Properties of the tree algorithm

- average energy cost low, if many stations alive
- the leader has the highest energy cost: always  $\log(n)$
- the algorithm inefficient if few stations alive

Can we reduce energy cost??

## A combined randomized solution

- $O(\log n)$  “Ethernet steps”  
only stations that send are allowed to listen at a given step  
(check for collisions)
- a station that succeeds to send without collision at step  $i$  gets ID equal to  $i$
- run tree algorithm on stations with ID's

## Energy cost of the combined algorithm

- $O(\log \log n)$  in the second stage
- $O(1)$  in the first stage, provided that a station may try to send a message at most once once  
(troubles with probability analysis, but works)

may we go below  $\log \log n$ ??

YES!

### Slaves

- usually LE algorithms gradually eliminate candidates for leaders, the losers become idle  
the winners have higher energy cost
- *slaves*: a candidate that loose become a slave of the winner
- a candidates that wins not only enslaves the looser but also takes all its slaves
- **the slaves work for their masters**  
goal: more uniform energy cost

## Using the slaves

- without slaves: the master has to perform  $T$  communication steps (energy cost  $T$ )
- with slaves  $s_1, \dots, s_k$ :
  - $s_1$  emulates the first  $T/k$  communication steps of the master
  - $s_1$  informs  $s_2$  of the state of simulation
  - $s_2$  takes over and simulates the next  $T/k$  communication steps of the master
  - ...
- energy cost (as maximum) becomes  $T/k$  instead of  $T$

## Dense tree algorithm

Assumptions:

- $\Omega(n)$  stations active
- alive stations have unique IDs in the range  $1..n$

**Result** There is a deterministic LE algorithm for this setting with energy cost  $O(\log^* n)$  and time  $O(n)$ .



## Idea of the algorithm

- modified tree algorithm
- phase  $i$ 
  - divide *masters* into groups of size  $s_i$
  - in each group perform tree algorithm with slaves
  - each (rich) master has  $\log s_i$  slaves, so energy cost  $O(1)$
  - a poor master becomes inactive
- there is  $\Omega(s_i)$  rich masters in a group
  - $\Rightarrow$  the leader elected in the group gets  $\Omega(s_i \cdot \log s_i)$  slaves

## Idea of the algorithm

- for the next phase we can set:

$$s_{i+1} = 2^{s_i \cdot \log s_i}$$

- so there are  $\log^* n$  phases, with energy cost  $O(1)$  for each phase
- details: there are enough “rich masters” at each phase

## From dense to randomized

### Randomized algorithm

1.  $O(\log n)$  “Ethernet trials”,  
each station participates in at most one trial
2. dense tree algorithm for electing the leader from the stations that have  
succeeded

**Result:** randomized algorithm with energy cost  $O(\log^* n)$  and time  $O(\log n)$ .

## Technical problems

- “Ethernet trials” are not independent, Bernoulli trials model does not apply
- estimation technique: “Energy-Efficient Size Approximation for Radio Networks with no Collision Detection”, JKZ, COCCOON'2002.

## Sublogarithmic deterministic solution

**Result:** a deterministic algorithm for stations with unique IDs in the range  $1..n$ , with energy cost  $O(\log^\varepsilon n)$

## Sublogarithmic deterministic solution - idea

### Construction idea:

- divide IDs into groups of size  $k = k(n)$
- in each group
  - all alive stations send a message
  - if no collision, then the station that has succeeded is a leader of the group
  - if collision, then execute the tree algorithm**note that the leader gets at least one slave!**
- choose the leader from the leaders elected in the groups (use slaves if possible!)

## Sublogarithmic deterministic solution - remarks

- apply recursively
- for  $k(n) = n^{2^{-t}}$  energy cost is  $O(\log n^{1/t})$

## Lower bounds - time

### Assumptions:

- deterministic algorithm
- unique IDs in the range  $1..n$
- an arbitrary set of IDs used

**Result:** each LE algorithm in such a setting requires time  $\Omega(n)$ .



## Lower bounds - energy

### Assumptions:

- deterministic algorithm
- unique IDs in the range  $1..n$
- an arbitrary set of IDs used

**Result:** each LE algorithm in such a setting has energy cost

$$\Omega(\log \log n / \log \log \log n).$$

## Energy lower bound - proof idea

- we analyze the steps and reduce the set of stations that might be alive
- goal: such stations know nothing about other stations that may be still alive after reduction
- technicalities: weights of stations,  
 $s_i$  = the total weight after considering step  $i$

## Reductions of step $i$

- $A_i$  - the set of all stations that might be active at step  $i$  after previous reductions,  $S_i$  - stations in  $S_i$  that would send at step  $i$ ,  $R_i$  - stations in  $S_i$  that would only listen.
- reduction (X), if  $|S_i \cup R_i| > 2\tau_n(n_i)$
- reduction (Y), otherwise

where

$$\tau_n(m) = m^{1/\log \log n}$$

## Reductions of step $i$

- reduction (X) (set of sender and receivers is small):

$$A_{i+1} := (A_i \setminus (S_i \cup R_i)) \cup \{j\}$$

where  $j$  is the station in  $S_i \cup R_i$  with the maximal weight,  $n_{i+1} = n_i$ , the new weight of  $j$  equal to the sum of weights of elements of  $S_i \cup R_i$

- reduction (Y):

$A_{i+1}$  is the bigger of the sets  $S_i$  and  $R_i$ .

$$n_{i+1} := |A_{i+1}|$$

## Observations

- many (Y) reductions  $\Rightarrow$  high energy cost
- few (Y) reductions  $\Rightarrow$  after the last (Y) reduction  $n_i \geq \log n$   
 $\Rightarrow$  the leader must accumulate the weight up to  $n_i$ , but the rate of growth bounded:  
after participating  $k$  times in communication step the weight bounded by  $(2\pi_n(n_i))^k$

## Conclusions and open problems:

- situation in a single hop radio network fairly well recognized, lower and upper bound quite close in many situations
- *multi-hop* radio network?