

# Fault Cryptanalysis and the Shrinking Generator

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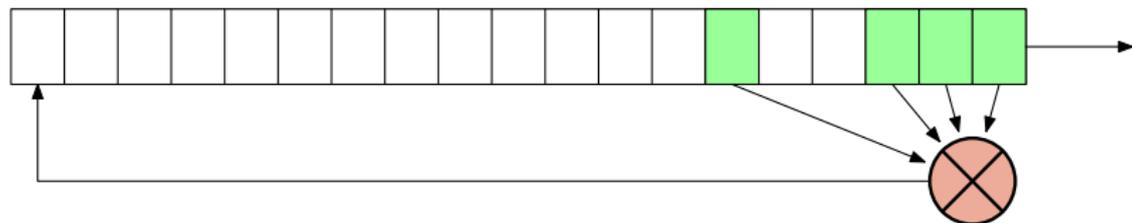
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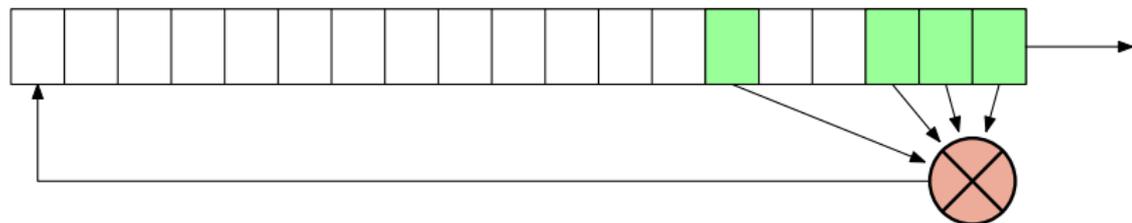
# Secure Pseudorandom Generators

- ▶ required for a number of purposes:
  - ▶ stream encryption
  - ▶ generating random material for security protocols
- ▶ hardware solutions:
  - ▶ mobile devices with communication capabilities (better security than Bluetooth,...)
  - ▶ simple devices (sensors, ...)

# LFSR



- ▶ in a step:
  - ▶ the rightmost bit = the current output bit,
  - ▶ all bits move one position to the right,
  - ▶ the leftmost bit obtained as a linear combination of bits from certain positions



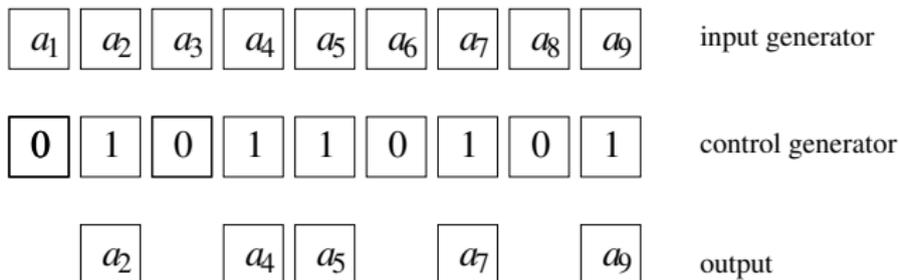
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  - ▶ the rightmost bit = the current output bit,
  - ▶ all bits move one position to the right,
  - ▶ the leftmost bit obtained as a linear combination of bits from certain positions
- ▶ LFSR, although “good enough” in statistical terms, is cryptographically very weak: easily broken by building a system of linear equations

# Shrinking Generator – Features

- ▶ pseudorandom number generator
- ▶ extremely simple design, yet cryptographically strong
- ▶ a clever combination of the output of two simple generators (e.g. LFSRs)

# Shrinking Generator – Design

- ▶ components:
  - ▶ input generator  $A$  with output  $a_1, a_2, a_3, \dots$
  - ▶ control generator  $C$  with  $c_1, c_2, c_3, \dots$
- ▶ output of the shrinking generator is composed of those and only those of  $a_j$  for which  $c_j = 1$ .



# Shrinking Generator – Strength

- ▶ Many similar architectures proposed (Geffe's generator, stop-and-go, step1-step2)  
all of them turned out to be weak in some sense
- ▶ attacks on the shrinking generator:
  - ▶ known attacks have complexity **exponential** in the length of LFSR's used
    - ▶ Golič, O'Connor, 1994,
    - ▶ Meier, Staffelbach, 1994, Mihaljevic, 1996,
    - ▶ Davson, Golič, Simpson, 1998,
  - ▶ for known feedback of LFSR's
    - ▶ Ekdahl, Johansson, Meier, 2003

# Fault Cryptanalysis

classical cryptanalysis :

- ▶ only output (and input) considered
- ▶ mainly computational methods with nonsolid mathematical background
- ▶ *but sometimes works*

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**fault cryptanalysis:** a tamper proof device holding secrets inside

**goal** reconstruct the secret key, internal state ...

**method** generate faults and analyze the outputs

**requirements** no proof required that the result is correct – one can simply check through experiments, *but it should work at least in some cases*

# Attack 1: Stopping the Control Generator

- ▶ stop the control generator for a few cycles
- ▶ observe the changes in the output
- ▶ guess the control sequence

# Basic Idea – Some Notation

let's denote:

- ▶  $A = a_1 a_2 a_3 \dots$  the input bitstream
- ▶  $C = c_1 c_2 c_3 \dots$  the control bitstream
- ▶  $Z^0 = z_1^0 z_2^0 z_3^0 \dots$  the output from a correct computation
- ▶  $Z^1 = z_1^1 z_2^1 z_3^1 \dots$  the output with the control generator held for 1 step
- ▶  $Z^2 = z_1^2 z_2^2 z_3^2 \dots$  the output with the control generator held for 2 steps
- ▶ ...

# Basic Idea – The Easy View

$A$	$a_i$	$a_{i+1}$	$a_{i+2}$	$a_{i+3}$	$a_{i+4}$	$a_{i+5}$	$a_{i+6}$
$C$	1	1	0	1	0	0	1
$Z^0$	$a_i$	$a_{i+1}$	$a_{i+2}$	$a_{i+3}$	$a_{i+4}$	$a_{i+5}$	$a_{i+6}$
$Z^1$	$a_{i+1}$	$a_{i+2}$	$a_{i+3}$	$a_{i+4}$	$a_{i+5}$	$a_{i+6}$	$a_{i+7}$
$Z^2$	$a_{i+2}$	$a_{i+3}$	$a_{i+4}$	$a_{i+5}$	$a_{i+6}$	$a_{i+7}$	$a_{i+8}$
$Z^3$	$a_{i+3}$	$a_{i+4}$	$a_{i+5}$	$a_{i+6}$	$a_{i+7}$	$a_{i+8}$	$a_{i+9}$
...				...			

# Basic Idea – Simple Algorithm

- ▶ guess that the number of zeroes between two ones is  $0, 1, 2, \dots$ , and check if appropriate bits are equal
- ▶ if they are, the guess might be right; if they are not, the guess is incorrect for sure
- ▶ algorithm linear in size of its' input data

problem: more equations  $\Rightarrow$  less false alarms,  
*but* more zeroes  $\Rightarrow$  less equations!

# More Realistic Fault Model

- ▶ one can stop the control generator for a while (upper bounded), but the exact duration remains unknown and random
- ▶ considered tables cannot be constructed directly (placement of rows is unknown)

# Reconstructing Ordering of Rows

**Data**  $Z^{\pi(l)}$  ( $l = 1, 2, \dots$ ) that was obtained by stopping the control generator for a number of steps

**Aim** if we could guess  $\pi$ , we could sort the rows out, and perform the Basic Attack

**Question** how to retrieve  $\pi$ ?

assume that the control sequence starts with  $0\dots 011$ ; then if the  $Z^k$  and  $Z^{k'}$  sequences are consecutive, that is represent consecutive rows for the Basic Attack, then

$$z_2^k = z_1^{k'} .$$

if they are not, this equation holds with probability of  $\frac{1}{2}$ ; so, sometimes we can say that some row *cannot* be “the next” after the other

# Guessing, guessing...

**Idea** let's think of the rows as of vertices in directed graph:  
an edge from vertex  $k$  to  $k'$  exists  $\iff$  row  $k'$  **can be next one** after  $k$

$\pi$  unknown permutation is one of the Hamilton's path in the graph defined

**Problem** graph is dense, besides finding such paths is NP-complete

**Solution** ...?

# The Solution

Graph is (too) dense? Then make it sparse!

- ▶ if we assume that  $C$  starts with  $00 \dots 0 \underbrace{11 \dots 1}_N$  we have

$$z_2^k = z_1^{k'} \text{ and } z_3^k = z_2^{k'} \text{ and } z_4^k = z_3^{k'} \text{ and } \dots$$

- ▶ so the probability of a false alarm exponentially decreases with  $N$

# Implementation

- ▶ simulations carried out on a regular PC
- ▶ calculations last from a split of a second to a couple of hours
- ▶ they tend to give at most a few possible candidates, always including the right one  
checking the candidates is straightforward
- ▶ for the interested – source code available (unfortunately with comments in Polish)

## Attack 2: Destroying the Control Generator

- ▶ the control generator is jammed – its' output bits are completely unrelated to the correct ones, we consider them random, independent, etc.
- ▶ observe the output
- ▶ guess the input sequence

# Basic Idea – Some Notation (again)

let's denote:

- ▶  $A = a_1 a_2 a_3 \dots$  the input bitstream
- ▶  $C^i = c_1^i c_2^i c_3^i \dots$  the  $i$ th control bitstream
- ▶  $Z^i = z_1^i z_2^i z_3^i \dots$  output when  $C^i$  is the control generator

# Probability, probability...

(for a while let  $C = c_1 c_2 c_3 \dots$  and  $Z = z_1 z_2 z_3 \dots$ )

- ▶ if  $c_1 = 1$ , then  $z_1 = a_1$   
→ probability equals  $\frac{1}{2}$
- ▶ if  $c_1 = 0$  and  $c_2 = 1$ , then  $z_1 = a_2$   
→ probability equals  $\frac{1}{4}$
- ▶ ...
- ▶ if exactly  $i - 1$  of  $c_1, c_2, \dots, c_{j-1}$  are 1 and  $c_j = 1$ , then  
 $z_i = a_j$   
→ probability equals  $\binom{j-1}{i-1} \left(\frac{1}{2}\right)^j$  (obviously  $i > j$ )

# Some properties

let  $X_j$  be the random variable distributed so that

$$\Pr(X_j = i) = \begin{cases} 0, & \text{for } i > j \\ \binom{j-1}{i-1} \left(\frac{1}{2}\right)^j, & \text{for } i \leq j \end{cases}$$

then it can be very easily shown that

- ▶  $E[X_j] = 2j$
- ▶  $\text{VAR}[X_j] = 2j$

and not quite that easily that

- ▶  $\Pr\left(X_k \leq 2k \cdot e^{\sqrt{\frac{-2 \log p}{k}}}\right) \geq 1 - p$

...so as we can see our probability distribution behaves nicely

# Frequency, frequency...

assume we have made  $N$  independent experiments, gaining  $N$  outputs:  $Z^1, Z^2, \dots, Z^N$

- ▶ in about  $\frac{1}{2}N$  cases  $z_1$  was  $a_1$ ,
- ▶ in about  $\frac{1}{4}N$  cases  $z_1$  was  $a_2$ ,
- ▶ ...
- ▶ in about  $\binom{j-1}{i-1} \left(\frac{1}{2}\right)^j N$  cases  $z_i$  was  $a_j$

# Equations, equations...

let  $p_{i,j} = \binom{j-1}{i-1} \left(\frac{1}{2}\right)^j$ ; then

$$\left\{ \begin{array}{l} Np_{1,1}a_1 + Np_{1,2}a_2 + Np_{1,3}a_3 + Np_{1,4}a_4 + \dots \approx \sum_{k=1}^N z_1^k \\ Np_{2,2}a_2 + Np_{2,3}a_3 + Np_{2,4}a_4 + \dots \approx \sum_{k=1}^N z_2^k \\ Np_{3,3}a_3 + Np_{3,4}a_4 + \dots \approx \sum_{k=1}^N z_3^k \\ Np_{4,4}a_4 + \dots \approx \sum_{k=1}^N z_4^k \\ \dots \approx \dots \end{array} \right.$$

# Problem

- ▶ we can write as much equations as we wish
- ▶ *but* there is always infinitely many variables!

...so we have to cut somewhere

# Solution

- ▶ we can assume that some  $p_{i,j}$  are so small that can be neglected
- ▶ consider only partial equations' systems
- ▶ do best effort to solve it (hopefully faster than via exhaustive search)

# The Algorithm

- ▶ we choose a number of equations  $w$
- ▶ for each  $k = 1, 2, \dots, w$  we choose  $v_k$ : the number of variables considered as important:

$$\Pr(X_k \leq v_k) \geq 1 - p$$

for some arbitrarily chosen parameter  $p$

- ▶ we consider a set of equations:

$$N \sum_{i=1}^{v_k} p_{k,i} a_i = \sum_{l=1}^N z_l^k$$

- ▶ in the interesting setups it is true that  $2k \leq v_k \leq 3k$   
→ still more variables than equations

# The Algorithm, cntd.

- ▶ for the first equation ( $k = 1$ ) we consider all possible values of variables
- ▶ for the next equations we consider only variables not considered before
- ▶ we keep some pool of the “best” solutions
- ▶ “goodness” of a solution  $s$  is measured by some reasonable metric:

$$M(s, i) = \sum_{m=1}^i \left( \frac{1}{n} \sum_{j=1}^n z_m^j - \sum_{j=m}^{v_m} p_{m,j} x_j \right)^2$$

# The Results

- ▶ simulations carried out on a regular PC
- ▶ calculations last from a couple of seconds to a couple of hours
- ▶ results are generally not 100% accurate, but they significantly correlate (58% – 95%) to the original values
- ▶ for the interested – source code available (unfortunately with comments in Polish)

# Conclusions

- ▶ security of simple cryptodevices is still a problem
- ▶ design methods inherited from “software”-cryptography may do harm for hardware cryptography  
example: avalanche property makes the second attack possible

**Thanks for your attention!**